

**SHELBY URBAN COUNTY**  
**2025 ANNUAL ACTION PLAN**  
**AS ADOPTED BY**  
**RESOLUTION 25-07-28-07**  
**MINOR AMENDMENT**  
**#1 JANUARY 2026**

**Shelby County Commission**  
**County Administration Building**  
**200 West College Street, Room 123**  
**Columbiana, Alabama 35051**

# Executive Summary

## AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

### 1. Introduction

In 2024 Shelby County was designated as a United States Department of Housing and Urban Development (HUD) entitlement community and became eligible for federal funds allocated through HUD's Community Development Block Grant program. The County's Department of Development Services administers the Community Development Block Grant (CDBG) funds and ensures compliance of federal regulations and guidelines. The County partnered with 13 municipalities, including three split places, to create the Shelby Urban County with the ultimate goal to improve the quality of life for all residents. The 2025 Annual Action Plan will assist the County in addressing additional needs identified in the 2024-2028 Consolidated Plan not funded in the 2024 Annual Action Plan.

Shelby County has a Policy Board made up of 14 representatives that selects and recommends projects to the Shelby County Commission. For 2025, there were four eligible applications that were submitted and ultimately made their way to the presentation round of the application process. Unfortunately, due to limited CDBG funding, only two of the four projects were selected and recommended to the Shelby County Commission. Infrastructure projects for The Town of Harpersville and the City of Vincent were selected. The Town of Wilton's water line project and the the City of Montevallo's community center project were not selected.

### 2. Summarize the objectives and outcomes identified in the Plan

This could be a restatement of items or a table listed elsewhere in the plan or a reference to another location. It may also contain any essential items from the housing and homeless needs assessment, the housing market analysis or the strategic plan.

Two of the seven goals and associated strategies adopted in the 2024-2028 Consolidated Plan are Infrastructure in order to expand / improve public infrastructure within low- and moderate-income areas and Administration, Planning, and Technical Assistance in order to provide oversight to the CDBG Program. The projects submitted for funding within this Action Plan address two of the nine priority needs found in the abovementioned plan -- Water System and Sewer System.

### 3. Evaluation of past performance

This is an evaluation of past performance that helped lead the grantee to choose its goals or projects.

The 2024 Annual Action Plan addressed priority needs related to a sewer system in Wilsonville and a park in Columbiana. Shelby County continues to address priority needs in this 2025 Annual Action Plan with a Sewer System in Vincent and a Water System in Harpersville. Three of the four projects within the 2024 and 2025 Annual Action Plans address infrastructure needs.

#### **4. Summary of Citizen Participation Process and consultation process**

Summary from citizen participation section of plan.

A summary of the Citizen Participation Process can be found in AP-12 Participation. Applicants conducted public hearings in their jurisdictions for their projects as it was part of the application process. Consultations were held with all applicants as part of the application process prior to application submittal. Through public notices in the Shelby County Reporter, on the County website, social media, and postings at various locations countywide, the public was invited to comment on the Annual Action Plan during public comment periods and a public hearing.

#### **5. Summary of public comments**

This could be a brief narrative summary or reference an attached document from the Citizen Participation section of the Con Plan.

A summary of public comments can be found in AP-12 Participation.

#### **6. Summary of comments or views not accepted and the reasons for not accepting them**

No comments were received or refused.

#### **7. Summary**

The 2025 Annual Action Plan will address two goals from the 2024-2028 Consolidated Plan -- Infrastructure; and Administration, Planning, and Technical Assistance. The two infrastructure projects will provide a much needed Sewer System in Vincent and larger sized water lines with fire hydrants in an area of Harpersville that lacks fire hydrants due to small sized water lines.

**PR-05 Lead & Responsible Agencies – 91.200(b)**

**1. Agency/entity responsible for preparing/administering the Consolidated Plan**

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
CDBG Administrator	SHELBY COUNTY	Development Services

**Table 1 – Responsible Agencies**

**Narrative (optional)**

The Shelby County Commission functions as the grantee and lead agency for the Shelby Urban County. The Shelby Urban County encompasses all of the unincorporated areas of Shelby County and the incorporated areas of the following municipalities: Alabaster, Calera, Chelsea, Columbiana, Harpersville, Helena, Indian Springs Village, Montevallo, Pelham, Vincent, Westover, Wilsonville, and Wilton. With the inclusion of three split places, the Shelby Urban County covers portions of Chilton, Jefferson, St. Clair, and Talladega counties.

A 14-member Policy Board was created with a representative from Shelby County and each of the abovementioned municipalities. The Board is charged with oversight of the Shelby Urban County’s administration. The Board established goals, formulated strategies, and set priorities to address needs based on feedback from the Needs Assessment. The Board guided the development of the Consolidated Plan and subsequent Annual Action Plans, and selected projects to recommend to the Shelby County Commission.

The Department of Development Services administers HUD grant funding received by the Shelby Urban County through the CDBG program. County staff prepared the 2024-2028 Consolidated Plan, which covers the period from October 1, 2024, through September 30, 2029. County staff prepared both the 2024 Annual Action Plan and the 2025 Annual Action Plan.

**Consolidated Plan Public Contact Information**

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## **AP-10 Consultation – 91.100, 91.200(b), 91.215(I)**

### **1. Introduction**

Shelby County continues to engage in coordination among public, private, and nonprofit organizations that deliver housing and community development services to county residents. The County's Department of Community Services has maintained and will continue this coordination among organizations. The creation of the Shelby Urban County and the focused efforts of the County's Department of Development Services in administering HUD's CDBG program will bolster this communication and coordination.

#### **Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))**

Shelby County facilitates countywide efforts to enhance coordination among the community's network of providers and service frameworks. Organizations that provide community services were contacted and encouraged to participate through surveys and a workshop. Included in this effort were organizations that serve special needs populations such as services to children, elderly residents, and fair housing providers. The consultation with these groups helped determine how County resources and investments will be prioritized and directed.

#### **Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.**

One Roof serves as the Continuum of Care for Jefferson, St Clair, and Shelby counties. Its mission is to equip and empower the community to prevent and end homelessness through advocacy, education, and coordination. With a vision for all community members to be appropriately, safely, and decently housed, One Roof strives to meet its goal to connect individuals and families with appropriate resources. The Shelby County Commission is a member organization of One Roof.

#### **Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS**

Not applicable.

**2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdiction’s consultations with housing, social service agencies and other entities**

**Table 2 – Agencies, groups, organizations who participated**

1	<b>Agency/Group/Organization</b>	SHELBY COUNTY COMMISSION
	<b>Agency/Group/Organization Type</b>	Other government - County
	<b>What section of the Plan was addressed by Consultation?</b>	Quality of Life Improvements
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Shelby County Commission is the lead agency. For this program year, a Letter of Intent to Apply for CDBG funding was implemented, a CDBG Application Workshop was required, and an Application Consultation Phase was required. The LOI was put into place to check on eligibility prior to committing time and resources on an application that was not eligible. The workshop was made mandatory to minimize errors and go over the new online application process. The consultation phase was conducted to review the applications to make sure all required elements were included and assist applicants in improving their scores.
2	<b>Agency/Group/Organization</b>	City of Alabaster
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Quality of Life Improvements
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Alabaster has a representative on the Policy Board. Input is provided at every meeting on the direction the Urban County needs to steer in order to follow the Consolidated Plan.
3	<b>Agency/Group/Organization</b>	City of Calera
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Quality of Life Improvements

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Calera has a representative on the Policy Board. Input is provided at every meeting on the direction the Urban County needs to steer in order to follow the Consolidated Plan. For this program year, a Letter of Intent to Apply for CDBG funding was implemented, a CDBG Application Workshop was required, and an Application Consultation Phase was required. Calera participated in all.
4	<b>Agency/Group/Organization</b>	City of Chelsea
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Quality of Life Improvements
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Chelsea has a representative on the Policy Board. Input is provided at every meeting on the direction the Urban County needs to steer in order to follow the Consolidated Plan.
5	<b>Agency/Group/Organization</b>	CITY OF COLUMBIANA
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Quality of Life Improvements
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Columbiana has a representative on the Policy Board. Input is provided at every meeting on the direction the Urban County needs to steer in order to follow the Consolidated Plan.
6	<b>Agency/Group/Organization</b>	Town of Harpersville
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Quality of Life Improvements

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Harpersville has a representative on the Policy Board. Input is provided at every meeting on the direction the Urban County needs to steer in order to follow the Consolidated Plan. For this program year, a Letter of Intent to Apply for CDBG funding was implemented, a CDBG Application Workshop was required, and an Application Consultation Phase was required. Harpersville participated in all.
7	<b>Agency/Group/Organization</b>	City of Helena
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Quality of Life Improvements
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Helena has a representative on the Policy Board. Input is provided at every meeting on the direction the Urban County needs to steer in order to follow the Consolidated Plan.
8	<b>Agency/Group/Organization</b>	Town of Indian Springs Village
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Quality of Life Improvements
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Indian Springs Village has a representative on the Policy Board. Input is provided at every meeting on the direction the Urban County needs to steer in order to follow the Consolidated Plan.
9	<b>Agency/Group/Organization</b>	CITY OF MONTEVALLO
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Quality of Life Improvements

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Montevallo has a representative on the Policy Board. Input is provided at every meeting on the direction the Urban County needs to steer in order to follow the Consolidated Plan. For this program year, a Letter of Intent to Apply for CDBG funding was implemented, a CDBG Application Workshop was required, and an Application Consultation Phase was required. Montevallo participated in all.
10	<b>Agency/Group/Organization</b>	City of Pelham
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Quality of Life Improvements
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Pelham has a representative on the Policy Board. Input is provided at every meeting on the direction the Urban County needs to steer in order to follow the Consolidated Plan. For this program year, a Letter of Intent to Apply for CDBG funding was implemented, a CDBG Application Workshop was required, and an Application Consultation Phase was required. Pelham submitted an LOI.
11	<b>Agency/Group/Organization</b>	City of Vincent
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Quality of Life Improvements
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Vincent has a representative on the Policy Board. Input is provided at every meeting on the direction the Urban County needs to steer in order to follow the Consolidated Plan. For this program year, a Letter of Intent to Apply for CDBG funding was implemented, a CDBG Application Workshop was required, and an Application Consultation Phase was required. Vincent participated in all.
12	<b>Agency/Group/Organization</b>	City of Westover
	<b>Agency/Group/Organization Type</b>	Other government - Local

	<b>What section of the Plan was addressed by Consultation?</b>	Quality of Life Improvements
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Westover has a representative on the Policy Board. Input is provided at every meeting on the direction the Urban County needs to steer in order to follow the Consolidated Plan.
13	<b>Agency/Group/Organization</b>	Town of Wilsonville
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Quality of Life Improvements
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Wilsonville has a representative on the Policy Board. Input is provided at every meeting on the direction the Urban County needs to steer in order to follow the Consolidated Plan.
14	<b>Agency/Group/Organization</b>	TOWN OF WILTON
	<b>Agency/Group/Organization Type</b>	Other government - Local
	<b>What section of the Plan was addressed by Consultation?</b>	Quality of Life Improvements
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	Wilton has a representative on the Policy Board. Input is provided at every meeting on the direction the Urban County needs to steer in order to follow the Consolidated Plan. For this program year, a Letter of Intent to Apply for CDBG funding was implemented, a CDBG Application Workshop was required, and an Application Consultation Phase was required. Wilton participated in all.
15	<b>Agency/Group/Organization</b>	Vincent Housing Authority
	<b>Agency/Group/Organization Type</b>	PHA
	<b>What section of the Plan was addressed by Consultation?</b>	Public Housing Needs

	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	The Housing Authority provided the current number of residents to assist the City of Vincent with income surveys. The Housing Authority provided needs that it had, including septic tank problems. The service area of Vincent's project includes the Housing Authority.
16	<b>Agency/Group/Organization</b>	Shelby Emergency Assistance
	<b>Agency/Group/Organization Type</b>	Nonprofit
	<b>What section of the Plan was addressed by Consultation?</b>	Homeless Needs - Chronically homeless Homeless Needs - Families with children Homelessness Needs - Veterans Homelessness Needs - Unaccompanied youth Homelessness Strategy
	<b>Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?</b>	For this program year, a Letter of Intent to Apply for CDBG funding was implemented, a CDBG Application Workshop was required, and an Application Consultation Phase was required. The agency submitted an LOI and attended the workshop.

**Identify any Agency Types not consulted and provide rationale for not consulting**

Not applicable as there were no agency types intentionally not consulted.

**Other local/regional/state/federal planning efforts considered when preparing the Plan**

<b>Name of Plan</b>	<b>Lead Organization</b>	<b>How do the goals of your Strategic Plan overlap with the goals of each plan?</b>
Continuum of Care	One Roof	One Roof works to prevent and mitigate the effects of homelessness throughout the counties of Jefferson, Shelby, and St Clair. Shelby County is a member organization of One Roof.

**Table 3 – Other local / regional / federal planning efforts**

**Narrative (optional)**

## **AP-12 Participation – 91.105, 91.200(c)**

### **1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting**

The Policy Board adopted new criteria to score applications for Program Year 2025. Community engagement and community alignment were added as criteria. Community engagement involved public hearings, community support letters, and prior community letters that identified the relevant existing problems. Community alignment involved identifying the proposed project within adopted comprehensive plans. These criteria were not required, but applicants received higher scores if used. All applicants held public hearings in their jurisdictions and received the highest score allowed for public hearings. All but one applicant received the highest score allowed for community support letters. All but one applicant received the highest score allowed for prior community letters. All but one applicant received the highest score allowed for comprehensive plans.

**Citizen Participation Outreach**

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (if applicable)
1	Newspaper Ad	Non-targeted/broad community	Shelby County placed two public notices in the Shelby County Reporter concerning the Year Two Annual Action Plan 2025 during two time periods. Both ads listed where to view the plan. The initial ad listed a 30-day comment period (starting immediately), and the date of the public hearing. The later ad listed a 30-day comment period (starting 15 days after ad posting).	No comments were received.	No comments were refused.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
2	30-Day Public Comment Period	Non-targeted/broad community	Following a legal ad posting on August 10, 2025, that provided a 15-day notice, a 30-Day Public Comment Period was held from August 26 through September 26, 2025, to provide the public the opportunity to view and provide comments on the plan. Notices were sent to local governments for postings at county buildings, city / town halls, libraries, social media, and websites.	No comments were received.	No comments were refused.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
3	Public Hearing	Non-targeted/broad community	Following a legal ad posting on June 22, 2025, the Shelby County held a public hearing on July 28, 2025, to discuss its 2025 Annual Action Plan. Notices were sent to local governments for postings at county buildings, city / town halls, libraries, social media, and websites. The public hearing had no attendees.	No comments were received.	No comments were refused.	
4	Public Hearing	Non-targeted/broad community	The City of Vincent held a public hearing on March 4, 2025, to discuss applying for a CDBG grant to add a sewer system. The public hearing had 8 attendees.	One comment was received. The comment stated that she was thrilled to move forward with the project.	No comments were refused.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
5	Public Hearing	Non-targeted/broad community	The Town of Harpersville held a public hearing on March 17, 2025, to discuss applying for a CDBG grant to make water line improvements. The public hearing had 14 attendees.	No comments were received.	No comments were refused.	
6	Public Hearing	Non-targeted/broad community	The Town of Wilton held a public hearing on March 18, 2025, to discuss applying for a CDBG grant to make water line improvements. The public hearing had no attendees.	No comments were received.	No comments were refused.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
7	Public Hearing	Non-targeted/broad community	The City of Montevallo held a public hearing on March 31, 2025, to discuss applying for a CDBG grant to renovate a community center. The public hearing had 11 attendees.	No comments were received.	No comments were refused.	
8	Public Meeting	Non-targeted/broad community	For Program Year 2025, all Policy Board meeting announcements were posted at locations according to the adopted Citizen Participation Plan. Meetings were held on October 16, 2024, December 18, 2024; January 15, 2025, and April 16, 2025.	No comments were received.	No comments were refused.	

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
9	Public Meeting	Non-targeted/broad community	A CDBG Application Workshop was held on February 5, 2025. Notices were sent to local governments for postings at county buildings, city / town halls, libraries, social media, and websites.	No comments were received.	No comments were refused.	
10	Internet Outreach	Non-English Speaking - Specify other language: Multiple languages	The Shelby County website has implemented Google Translate whereby viewers may select a desired language to view information translated for them.	No comments were received.	No comments were refused.	

**Table 4 – Citizen Participation Outreach**



## Expected Resources

### AP-15 Expected Resources – 91.220(c)(1,2)

#### Introduction

This section outlines Community Development Block Grant (CDBG) funding the County anticipates receiving on an annual basis for the 2024-2028 period covered by the Consolidated Plan for Shelby County. The CDBG program strives to ensure decent affordable housing, to provide vital services to the most vulnerable people in the Shelby Urban County. In PY24, Shelby County was allocated \$858,304; however, in PY25 Shelby County was given a slight increase and was allocated \$878,552. It is anticipated that Shelby County will be allocated \$878,552 annually for the remaining three years of the Five-Year Consolidated Plan period. Shelby County has elected to not withhold any CDBG funds for the administration of the CDBG program.

#### Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	878,552.00	0.00	0.00	878,552.00	2,635,656.00	Shelby County will not use CDBG funds for Administration and Planning.

**Table 5 - Expected Resources – Priority Table**

**Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied**

The federal funds listed represent the annual allocation to the Shelby County Commission to support the activities outlined in the 2025 Annual Action Plan, as well as the anticipated funding for the remainder of the Five-Year Consolidated Plan (2024-2028). Estimates of funding are based on the allocation of PY 2025 HUD CDBG funds. The PY 2025 allocation is anticipated to remain the same for each of the remaining years covered by this Plan. In order to fund more projects, the Shelby Urban County Policy Board required matching funds from applicants based on total project cost. For projects with a total cost \$250,000 or less, a minimum ten percent match was required, and for projects with a total cost in excess of \$250,000, a minimum fifteen percent match was required. An increase in match was added as criteria by the Policy Board this program year to leverage additional resources. Applicants that provided more local match received higher scores. This program year, the highest scored applications were guaranteed to present their projects to the Policy Board. In fact, all applicants that attained a criteria score of 400 or more out of 800 were required to present to the Policy Board as part of the application selection process.

**If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan**

Not Applicable.

**Discussion**

The Shelby County Policy Board has elected to leverage CDBG funding to the fullest extent possible for Year Two as they did for Year One to implement projects that will help further the priority needs of area residents.

## Annual Goals and Objectives

### AP-20 Annual Goals and Objectives

#### Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Infrastructure	2024	2028	Non-Housing Community Development	Harpersville Vincent	Sewer System Water System	CDBG: \$878,552.00	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 146 Persons Assisted
2	Administration, Planning, and Technical Assistance	2024	2028	Administration	Shelby Urban Countywide	Administration, Planning, and Technical Assistance	CDBG: \$.00	Other: 1 Other

**Table 6 – Goals Summary**

#### Goal Descriptions

<b>1</b>	<b>Goal Name</b>	Infrastructure
	<b>Goal Description</b>	The Vincent Sewer Project will install 8" gravity sewer lines and a package wastewater treatment plant. The Harpersville Fire Protection Project will replace 2" water lines with 6" water lines and add fire hydrants.

<b>2</b>	<b>Goal Name</b>	Administration, Planning, and Technical Assistance
	<b>Goal Description</b>	Provide Oversight to the CDBG Program.

# Projects

## AP-35 Projects – 91.220(d)

### Introduction

The following are the CDBG projects selected for Program Year 2025.

### Projects

#	Project Name
1	Vincent Sewer Project
2	Harpersville Fire Protection Project
3	CDBG Administration
4	Unallocated Resources

**Table 7 - Project Information**

### Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The selected projects address priority needs that serve low- and moderate-income areas based on income surveys conducted by the City of Vincent and the Town of Harpersville.

**AP-38 Project Summary**  
**Project Summary Information**

1	<b>Project Name</b>	Vincent Sewer Project
	<b>Target Area</b>	Vincent
	<b>Goals Supported</b>	Infrastructure
	<b>Needs Addressed</b>	Sewer System
	<b>Funding</b>	CDBG: \$649,112.10
	<b>Description</b>	The project is to provide sewer services to the residents, businesses, and visitors in the City of Vincent. The project includes installing 8" gravity sewerlines and a package wastewater treatment plant.
	<b>Target Date</b>	9/30/2026
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	A total of 96 persons, 79 of them LMI, are within the service area. The LMI% is 82.29%.
	<b>Location Description</b>	The service area is roughly a quarter-mile rectangle surrounding the downtown area.
<b>Planned Activities</b>	A gravity sewer collection system and treatment facility will be constructed to replace the existing failing septic tanks in the service area surrounding downtown. The dilapidated septic tanks currently in place are discharging raw sewage in yards, creeks and ditches. Most, if not all, of these septic tanks are well over 70 years old and typically made with CMU blocks. This project will initially allow the residents surrounding the downtown area to connect to an enclosed 8" gravity sewer system that will eliminate the septic tank discharges.	
2	<b>Project Name</b>	Harpersville Fire Protection Project
	<b>Target Area</b>	Harpersville
	<b>Goals Supported</b>	Infrastructure
	<b>Needs Addressed</b>	Water System
	<b>Funding</b>	CDBG: \$229,439.90
	<b>Description</b>	Replace 2" water lines located on Sunset Drive, Lamplight Circle, Shadow Lane and Twilight Lane with 6" water lines and fire hydrants.
	<b>Target Date</b>	9/30/2026

	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	The project will accommodate 33 homes that contain 75 residents who currently do not have adequate fire protection due to 2" water lines and no fire hydrants. Of the 75 residents, 67 have low- to moderate-incomes. The LMI% is 89.33%. Of the 33 homes, 29 are low- to moderate-incomes. Local income surveys were completed.
	<b>Location Description</b>	The service area within the city limits of Harpersville encompasses the homes along the following streets: Sunset Drive, Lamplight Circle, Shadow Lane, and Twilight Lane.
	<b>Planned Activities</b>	This project will replace the existing 2" water lines with 6" water lines and install fire hydrants serving 33 homes.
<b>3</b>	<b>Project Name</b>	CDBG Administration
	<b>Target Area</b>	Shelby Urban Countywide
	<b>Goals Supported</b>	Administration, Planning, and Technical Assistance
	<b>Needs Addressed</b>	Administration, Planning, and Technical Assistance
	<b>Funding</b>	:
	<b>Description</b>	Provide Oversight to the CDBG Program. This involves the administration, planning, and technical assistance of the CDBG Program. Shelby County has elected to not withhold any CDBG funds for the administration of the CDBG program.
	<b>Target Date</b>	9/30/2026
	<b>Estimate the number and type of families that will benefit from the proposed activities</b>	CDBG Administration will support the proposed activities to be carried out in Program Year 2025; these activities will, in turn, benefit LMI households and families throughout the Shelby Urban County.
	<b>Location Description</b>	Shelby County Department of Development Services, Pelham
	<b>Planned Activities</b>	Provide internal staffing capacity to effectively manage and administer the CDBG program. Staff keep oversight over all Consolidated Plan funds, review eligibility, monitor labor standards, ensure environmental and programmatic compliance with relevant federal regulation. Staff provide CDBG program administrative support, such as executive leadership, communications, payroll, human resources, information technology, accounts payable, and budget management.
<b>4</b>	<b>Project Name</b>	Unallocated Resources
	<b>Target Area</b>	Shelby Urban Countywide
	<b>Goals Supported</b>	Administration, Planning, and Technical Assistance

<b>Needs Addressed</b>	Administration, Planning, and Technical Assistance
<b>Funding</b>	:
<b>Description</b>	This is a minor increase of available funds for FY25 that was made available after the Urban County Policy Board had selected and recommended projects to the Shelby County Commission for the 2025 Annual Action Plan.
<b>Target Date</b>	9/30/2026
<b>Estimate the number and type of families that will benefit from the proposed activities</b>	
<b>Location Description</b>	
<b>Planned Activities</b>	

**AP-50 Geographic Distribution – 91.220(f)**

**Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed**

Shelby County does not intend to direct allocations to specific pre-defined targeted neighborhoods, but rather distribute allocations based on an open application process to all urban county participants and non-profit organizations with eligible projects.

**Geographic Distribution**

<b>Target Area</b>	<b>Percentage of Funds</b>
CDBG Area Benefit	
Shelby Urban Countywide	
Alabaster	
Calera	
Chelsea	
Montevallo	
Pelham	
Columbiana	
Westover	
Wilton	
Indian Springs Village	
Helena	
Wilsonville	
Harpersville	26
Vincent	74
Unincorporated Shelby County	

**Table 8 - Geographic Distribution**

## **Rationale for the priorities for allocating investments geographically**

A needs assessment survey was conducted and consultations were held to determine the needs of the urban county. Needs were not allocated within only certain geographic areas. Community neighborhood needs were identified and prioritized by the Urban County Policy Board, such as infrastructure sewer and water projects. Applications were scored based on criteria set by the Policy Board that demonstrated the priority needs and beneficiaries with high concentrations of low- and moderate-income persons. The Urban County Policy Board selected and recommended to the Shelby County Commission two projects that best met adopted priority needs based on eligibility, criteria scoring, CDBG allotment, and availability of local funds.

## **Discussion**

## Affordable Housing

### AP-55 Affordable Housing – 91.220(g)

#### Introduction

Shelby County’s Year-Two goals do not include any affordable housing projects; however, improvements to infrastructure will assist in encouraging and supporting development of affordable housing in such neighborhoods. Affordable housing is one of the seven goals adopted as part of this Consolidated Plan. The limited amount of CDBG funding and infrastructure needs in low- and moderate-income areas became the focus for Year-Two.

One Year Goals for the Number of Households to be Supported	
Homeless	0
Non-Homeless	0
Special-Needs	0
Total	0

**Table 9 - One Year Goals for Affordable Housing by Support Requirement**

One Year Goals for the Number of Households Supported Through	
Rental Assistance	0
The Production of New Units	0
Rehab of Existing Units	0
Acquisition of Existing Units	0
Total	0

**Table 10 - One Year Goals for Affordable Housing by Support Type**

#### Discussion

## **AP-60 Public Housing – 91.220(h)**

### **Introduction**

Shelby County is served by four housing authorities. The Calera Housing Authority oversees public housing in Calera, while the Montevallo Housing Authority maintains public housing in both Montevallo and Wilton. The Columbiana Housing Authority has public housing facilities not only within Columbiana, but Alabaster and Wilsonville as well. The Vincent Housing Authority provides public housing in Vincent and Harpersville.

### **Actions planned during the next year to address the needs to public housing**

There is a need to increase the number of accessible units at public housing facilities within Shelby County, but there are no current plans to make any additions. The Vincent Housing Authority is replacing A/C units and water heaters as ongoing projects, and will be replacing water meter boxes. The Vincent Housing Authority has reroofed all of the units with the exception of two buildings which are scheduled to be reroofed this year.

### **Actions to encourage public housing residents to become more involved in management and participate in homeownership**

The Vincent Housing Authority is in the process of developing a residents' council. The Calera and Montevallo Housing Authorities work closely with programs like Community Action to spread information to residents about community events and housing education initiatives.

### **If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance**

None of the public housing authorities within Shelby County are designated as troubled.

### **Discussion**

## **AP-65 Homeless and Other Special Needs Activities – 91.220(i)**

### **Introduction**

One Roof serves as HUD’s homeless Continuum of Care (CoC) for Jefferson, St. Clair, and Shelby counties and is the coordinating agency for the homeless Continuum of Care of central Alabama. One Roof’s goal is to connect individuals and families to appropriate resources related to their housing and service needs. One Roof oversees the Point-in-Time (PIT) survey of sheltered and unsheltered people experiencing homelessness on a single night in January annually.

Family Connection Inc. is a non-profit organization that provides an 18-bed shelter for homeless and runaway youth aimed specifically for teens between ages 12 and 17. Teens may stay in the shelter for up to 21 days and have access to help with basic necessities through counseling services.

SafeHouse of Shelby County is a non-profit organization that provides the Caroline E. Jackson Center for Women and Children a 56-bed emergency shelter offering immediate safe shelter for survivors and their children. The facility is staffed 24 hours a day for victim-survivors of domestic violence. There is no time limit to how long the victim-survivors can reside in emergency shelter.

Blanket Fort Hope is a non-profit organization that will house nine female child survivors of human trafficking in the near future at its Restoration Home.

Shelby Emergency Assistance is a non-profit organization that serves adults and families who are currently homeless or at risk of becoming homeless. Its mission is to help people move from crisis to self-sufficiency in Shelby County.

Second Shift is a non-profit organization that assists youth who are aging out of foster care and at-risk in transitioning successfully into independence. This includes rental and utility assistance in transitional housing, mentoring and life skills coaching.

### **Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including**

#### **Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs**

One Roof was able to count 11 unsheltered individuals and 13 sheltered individuals within Shelby County during its January 2024 given night count. Shelby County will continue to support One Roof as a member. One Roof uses the Homeless Management Information System (HMIS) that provides an electronic case management system including software, hardware, internet services as appropriate, and all training to various homeless service providers in the effort to end homelessness. HMIS is mandated

by HUD in an attempt to quantify homelessness, track services provided by community agencies, and report progress toward ending homelessness. One Roof is the HMIS Lead entity for central Alabama and manages the Program Information System of the Southeast (PromisSE), a shared HMIS platform utilized by HUD Continuums of Care and homeless service providers across the State of Alabama and the Florida Panhandle.

### **Addressing the emergency shelter and transitional housing needs of homeless persons**

Although the Shelby Urban County does not receive ESG funds, Shelby County did receive ESG funds from the State of Alabama for Fiscal Year 2024. Shelby County will continue to support agencies such as Family Connection, SafeHouse of Shelby County, and Shelby Emergency Assistance.

In 2023, Family Connection provided emergency shelter for 43 homeless youth; 1,352 meals and snacks to homeless youth; case management with 43 homeless youth; referral assistance to 43 homeless youth; 230 education units; 240 life-skills sessions; 462 group counseling sessions; 223 individual counseling sessions; and educated 24,628 students on runaway prevention.

In 2023, SafeHouse of Shelby County provided emergency shelter to 160 women and children; 7,498 bed-nights; 138 outreach, prevention education, and professional training sessions attended by 9,611 individuals; and 1,732 crisis calls.

In 2023, Shelby Emergency Assistance provided services to 2,486 unduplicated individuals; utility assistance to 743 individuals; food assistance to 1,003 individuals; transportation assistance to 150 individuals; dental visits for 105 individuals; doctor visits for 60 individuals; housing assistance for 219 individuals; and medical prescription assistance for 36 individuals. Through the Thrive single mom program, assistance was provided for 127 budgeting sessions and 197 coaching sessions.

**Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again**

Shelby County will continue to work together with One Roof and various service providers to assist in helping families transition to permanent housing and independent living.

**Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving**

**assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.**

Shelby County will continue to work together with One Roof and various service providers to ensure that individuals and families receive the proper resources and services necessary to avoid becoming homeless.

## **Discussion**

## **AP-75 Barriers to affordable housing – 91.220(j)**

### **Introduction:**

The Shelby County Planning Commission adopted the Shelby County Comprehensive Plan in March 2023 and the Shelby County Commission ratified it in April 2023. This plan included various actions that addressed housing issues.

### **Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment**

One housing action is to encourage the development of a variety of housing types for households of all configurations and lifestyles. A healthy community accommodates a variety of people, backgrounds, and lifestyles; however, housing choices are limited and are a direct result of market trends, flexible building practices, and private development investment. In addition, a change from the dominant single-family development pattern will require a diversity of housing choices, including estates, garden homes, townhomes, condos, live-work units, mixed-use buildings and others that cater to the preferences of all residents. Diverse housing options at a variety of price points are critical to the local economy, as they improve the ability of businesses to recruit and retain employees that match required skill sets. Research has shown that communities with more variety in housing types have lower foreclosure rates in the long run. Like a diversified investment portfolio, a community needs a broad range of housing choices in order to weather unpredictable economic cycles. As Shelby County's proportion of senior citizens rises, so too will the demand for housing options that can accommodate them. Having a variety of housing choices that address a broad spectrum of income levels and lifestyle choices will allow Shelby County seniors to remain in their homes and keep contributing to their community. Shelby County is a family-oriented community of communities, and diverse housing options support the health and well-being of children and families. When housing needs are appropriately met, children are more likely to be healthy and perform well in school, and parents are more likely to participate in the workforce.

Another action is to encourage the development of viable senior housing options. To accommodate an aging population, more viable housing choices at all affordability levels will need to be made available. To enable older adults and those people with special needs to remain for as long as possible, the development community should prioritize the need to construct more senior living options that are affordable and accessible. Housing types include assisted living facilities, independent living facilities, nursing homes, continuum care retirement communities, townhouses and condominiums, or a combination thereof. The co-housing concept consists of multiple individually owned housing units that are oriented around a common open area and a common house.

The last action is to encourage developers to partner with other developers specializing in affordable

and accessible housing, such as Habitat for Humanity or other nonprofit builders. According to the Affordability Gap Analysis, there is a great need for more affordable rental housing in Shelby County. In fact, the most recent data shows a substantial shortage of rental options across all household incomes. The Comprehensive Plan encourages commercial developers to partner with other developers and nonprofits that specialize in affordable and accessible housing on future opportunities to increase the number of affordable rental units across the county and provide creativity in design, open space, and nonexclusive rental properties.

**Discussion:**

## **AP-85 Other Actions – 91.220(k)**

### **Introduction:**

Shelby County is committed to improving the lives of its residents, especially low- and moderate-income and special needs populations. The County's CDBG program will provide an additional funding source for improvements to infrastructure, public facilities, and public services over time. Shelby County initiated a Community Services Grant in 2024 to provide another funding source to nonprofits and local governments to be used for public services and programs. Most of these projects should be completed in 2025.

### **Actions planned to address obstacles to meeting underserved needs**

Shelby County will partner with community service providers to address perceived barriers to the utilization of social services. The County will increase the amount of community service information and will provide easier-to-find local non-profit information. Affordability of social services and transportation services is a barrier to be addressed in collaboration with community partners. Stigma and embarrassment issues will be sought to be removed and / or minimized. Additional community services funding will be available as entitlement grantee funds add a new revenue source to the mix.

Shelby County plans to improve continuity of care by increasing capacity, coordination, and collaboration especially for mental health and in the overlapping spheres of mental health, substance use, and criminal justice system; and by increasing preventive and rehabilitative substance use services through ongoing community partnerships. The County will assist with the needs of the rising senior population. In addition, the County will partner with local community providers to assist with the needs of at-risk children and youth by increasing education for suicide prevention, anti-bullying, abuse prevention and by enhancing services for youth with behavioral issues. Improving outreach will be sought by augmenting visibility of social services and transportation to access services and by improving support and outreach to minority communities, veterans, and people with disabilities.

As part of the abovementioned Community Services Grant projects awarded:

- Heardmont Senior Center (enclosing patio for more classroom space)
- Helena Senior Center (purchasing a bus for senior transportation)
- Pea Ridge Senior Center (replacing 3 HVAC units)
- Alabaster Senior Center (volunteer recognition event)
- Family Connection (construct outdoor classroom and ropes course)
- City of Columbiana (construct covered patio)
- Second Shift (rent and utilities for 4-6 at-risk youth in transitional housing)

In 2025, Shelby County donated a bus for senior transportation to the City of Pelham for use at the Pelham Senior Center. The City of Pelham had initially submitted a Letter of Intent to apply for CDBG

funding for Program Year 2025 to acquire a bus for senior transportation. The Shelby County Commission reallocated resources and was able to meet this need.

### **Actions planned to foster and maintain affordable housing**

The Urban County Policy Board set a goal for affordable housing for the Shelby Urban County. Two strategies were adopted to eliminate and prevent the spread of blight on a spot basis in low- and moderate-income neighborhoods and to rehabilitate existing owner-occupied housing stock for low- and moderate-income and special needs populations. The County will continue to partner with local non-profit organizations to provide minor repair and ramp programs to assist low- and moderate-income and special needs homeowners to protect their property. Such programs may bring homes into compliance with local building codes, perform needed repairs, weatherize homes, and remove health and safety hazards.

### **Actions planned to reduce lead-based paint hazards**

Shelby County will adhere to the requirements of HUD's Lead Safe Housing Rule (LSHR) and the Residential Lead-Based Paint Hazard Reduction Act of 1992. For projects funded with federal dollars that involve a facility built prior to 1978, a certified inspection will be performed to determine the presence of lead-based paint. If lead-based paint is found to exist, a risk assessment will be conducted to determine the type, severity and location of the hazards. The findings of the risk assessment may direct abatement as the solution to best protect children from lead exposure. Shelby County will integrate LSHR requirements into housing policies and procedures in all of its federally funded housing rehabilitation assistance activities.

### **Actions planned to reduce the number of poverty-level families**

The Urban County Policy Board will follow adopted goals and strategies that are aimed at addressing needs faced by low- and moderate-income families in the Shelby Urban County, and, in turn, attempt to reduce and minimize those facing poverty.

### **Actions planned to develop institutional structure**

Shelby County will continue to work with its partners, other government agencies, and non-profit organizations to address the various needs of its residents. The County has a strong partnership with non-profit organizations prior to becoming an urban county through the actions of its Department of Community Services. This interaction will continue to flourish with the addition of the urban county framework as well as a new county department that deals with other grant programs besides CDBG. In addition, the County will continue to work with One Roof and the four public housing authorities to meet the needs of its residents served by these entities. Communication and coordination of resources will continue to improve as the Shelby Urban County Program develops.

## **Actions planned to enhance coordination between public and private housing and social service agencies**

Shelby County participates in the ongoing "Conversation on Housing" addressing affordability, accessibility, and availability in the Bibb-Chilton-Shelby Tri-County Area. The Tri-County Area is facing rising home prices and rents, a limited supply of available homes, barriers to homeownership, a need for infrastructure improvements and a lack of short- and long-term housing options for vulnerable populations. The goal is to develop an action plan.

### **Discussion:**

## Program Specific Requirements

### AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

#### Introduction:

Projects planned with CDBG funds expected to be available during this year are identified in the Projects section. Shelby County does not have program income available at the beginning of this program year.

#### Community Development Block Grant Program (CDBG)

##### Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	0
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
<b>Total Program Income:</b>	<b>0</b>

#### Other CDBG Requirements

1. The amount of urgent need activities	0
2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.	100.00%

Shelby County does not have any program income. Both projects in Program Year 2025 will benefit persons of low- and moderate-income. The Vincent project will account for 72% of the CDBG allotment for Program Year 2025 assisting a population composed of 82.29% LMI persons. The Harpersville project will account for 26% of the CDBG allotment for Program Year 2025 assisting a population composed of 89.33% LMI persons.

## Attachments

## Citizen Participation Comments

**Grantee Unique Appendices**

**APPENDICES**

**Appendix 1: Minor Amendment #1 January 2026**

**Appendix 2: Project Locations Map**



# INTEROFFICE MEMORANDUM

Shelby County Department of Development Services  
1123 County Services Drive  
Pelham, Alabama 35124  
205.820.8650

**To:** Chad Scroggins, County Manager  
**From:** Christie Hester, Director  
**Subject:** CDBG Minor Amendment to PY25 Annual Action Plan  
**Date:** January 27, 2026

On January 14, 2026, the Shelby Urban County Policy Board voted to allocate the remaining unspent obligated or unobligated funds to the selected projects within each program year based on a portion of the project's percentage of total funds for all future years.

The Shelby Urban County Policy Board further recommended to amend the Program Year (PY) 2025 Annual Action Plan to allocate the unobligated funds of \$20,248 to the two approved projects.

Proposed Minor Amendment				
Project	Original Allocation	Proposed Amendment	Revised Allocation	Budget Change %
Vincent Sewer	\$634,152.00	\$14,960.10	\$649,112.10	+ 2.359%
Harpersville Fire Protection	\$224,152.00	\$5,287.90	\$229,439.90	+ 2.359%

In accordance with Shelby County's Citizen Participation Plan for the Community Development Block Grant Program, adopted under County Commission Resolution 2026-01-12-04, minor amendments are identified as any action that changes an existing project budget by less than 20 percent in an approved Annual Action Plan. Minor amendments are administratively approved.

This memorandum requests administrative approval to obligate \$20,248.00 from the PY25 Annual Action Plan to the two projects as shown above. This proposed change is a budget revision that does not significantly impact project beneficiaries or scope.

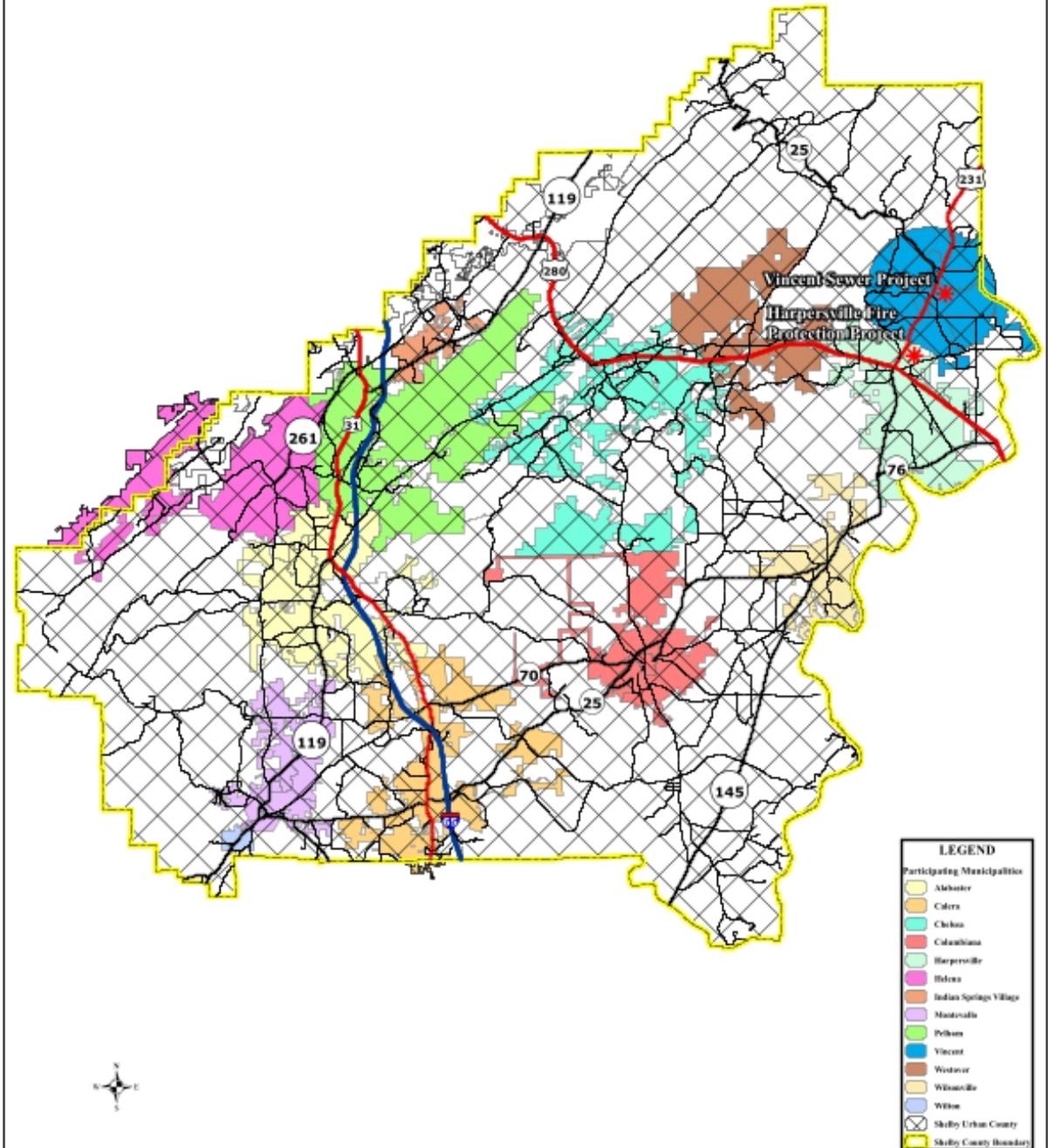
Requested By: Christie Hester  
Christie Hester

1/27/26  
Date

Approved By: Chad Scroggins  
Chad Scroggins

1/28/2026  
Date

# PROGRAM YEAR 2025 PROJECT LOCATIONS



## **Grantee SF-424's and Certification(s)**

### **FORMS AND CERTIFICATIONS**

- **SF-424**
- **HUD-424B, Applicant and Recipient Assurance and Certifications**
- **Certifications Required by 24 CFR 91.225, 91.325, and 91.425**

Application for Federal Assistance SF-424		
* 1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	* 2. Type of Application: <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	* If Revision, select appropriate letter(s): _____ * Other (Specify): _____
* 3. Date Received: _____	4. Applicant Identifier: _____	
5a. Federal Entity Identifier: _____	5b. Federal Award Identifier: _____	
State Use Only:		
6. Date Received by State: _____	7. State Application Identifier: _____	
<b>B. APPLICANT INFORMATION:</b>		
* a. Legal Name: <input type="text" value="Shelby County Commission"/>		
* b. Employer/Taxpayer Identification Number (EIN/TIN): <input type="text" value="63-6001694"/>	* c. UEI: <input type="text" value="E834J0RMXVHM1"/>	
<b>d. Address:</b>		
* Street1: <input type="text" value="200 West College Street"/>	Street2: <input type="text" value="Room 123"/>	
* City: <input type="text" value="Columbiana"/>	County/Parish: <input type="text" value="Shelby"/>	
* State: <input type="text" value="AL: Alabama"/>	Province: <input type="text"/>	
* Country: <input type="text" value="USA: UNITED STATES"/>	* Zip / Postal Code: <input type="text" value="35051-9702"/>	
<b>e. Organizational Unit:</b>		
Department Name: <input type="text" value="Development Services"/>	Division Name: <input type="text"/>	
<b>f. Name and contact information of person to be contacted on matters involving this application:</b>		
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Eric"/>	
Middle Name: <input type="text"/>	* Last Name: <input type="text" value="Kosack"/>	
Suffix: <input type="text"/>	Title: <input type="text" value="Community Development Programs Specialist"/>	
Organizational Affiliation: <input type="text"/>		
* Telephone Number: <input type="text" value="205-620-6629"/>	Fax Number: <input type="text"/>	
* Email: <input type="text" value="ekosack@shelbyal.com"/>		

Application for Federal Assistance SF-424	
<p><b>* 9. Type of Applicant 1: Select Applicant Type:</b></p> <p>B: County Government</p> <p>Type of Applicant 2: Select Applicant Type:</p> <p>Type of Applicant 3: Select Applicant Type:</p> <p>* Other (specify):</p>	
<p><b>* 10. Name of Federal Agency:</b></p> <p>US Department of Housing and Urban Development</p>	
<p><b>11. Assistance Listing Number:</b></p> <p>14.218</p> <p>Assistance Listing Title:</p> <p>Community Development Block Grants/Entitlement Grants</p>	
<p><b>* 12. Funding Opportunity Number:</b></p> <p>* Title:</p>	
<p><b>13. Competition Identification Number:</b></p> <p>Title:</p>	
<p><b>14. Areas Affected by Project (Cities, Counties, States, etc.):</b></p> <p>Add Attachment    Delete Attachment    View Attachment</p>	
<p><b>* 15. Descriptive Title of Applicant's Project:</b></p> <p>Proposed CDBG Projects to be undertaken: Vincent Sewer Project, Harpersville Fire Protection Project, and CDBG Administration</p>	
<p>Attach supporting documents as specified in agency instructions.</p> <p>Add Attachments    Delete Attachments    View Attachments</p>	

Application for Federal Assistance SF-424	
<b>16. Congressional Districts Of:</b>	
* a. Applicant: <input type="text" value="5L-006"/>	* b. Program/Project: <input type="text" value="AL-006"/>
Attach an additional list of Program/Project, Congressional Districts if needed.	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
<b>17. Proposed Project:</b>	
* a. Start Date: <input type="text" value="10/01/2025"/>	* b. End Date: <input type="text" value="09/30/2026"/>
<b>18. Estimated Funding (\$):</b>	
* a. Federal	<input type="text" value="678,552.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="678,552.00"/>
<b>* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?</b>	
<input type="checkbox"/> a. This application was made available to the State under the Executive Order 12372 Process for review on <input type="text"/>	
<input type="checkbox"/> b. Program is subject to E.O. 12372 but has not been selected by the State for review.	
<input checked="" type="checkbox"/> c. Program is not covered by E.O. 12372	
<b>* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)</b>	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes", provide explanation and attach	
<input type="text"/>	<input type="button" value="Add Attachment"/> <input type="button" value="Delete Attachment"/> <input type="button" value="View Attachment"/>
<b>21. "By signing this application, I certify (1) to the statements contained in the list of certifications" and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances" and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 18, Section 1001)</b>	
<input checked="" type="checkbox"/> ** I AGREE	
<small>** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.</small>	
<b>Authorized Representative:</b>	
Prefix: <input type="text" value="Mr."/>	* First Name: <input type="text" value="Jon"/>
Middle Name: <input type="text"/>	
* Last Name: <input type="text" value="Parker"/>	
Suffix: <input type="text"/>	
* Title: <input type="text" value="Shelby County Commission Chairman"/>	
* Telephone Number: <input type="text" value="205-670-6500"/>	Fax Number: <input type="text"/>
* Email: <input type="text" value="pknight@shelbyal.com"/>	
* Signature of Authorized Representative: 	* Date Signed: <input type="text" value="7/28/25"/>

**Applicant and Recipient  
Assurances and Certifications**

U.S. Department of Housing  
and Urban Development

OMB Number: 2501-0044  
Expiration Date: 02/28/2027

**Instructions for the HUD-424-B Assurances and Certifications**

As part of your application for HUD funding, you, as the official authorized to sign on behalf of your organization or as an individual, must provide the following assurances and certifications, which replace any requirement to submit an SF-424-B or SF-424-D. The Responsible Civil Rights Official has specified this form for use for purposes of general compliance with 24 CFR §§ 1.5, 3.115, 8.50, and 146.25, as applicable. The Responsible Civil Rights Official may require specific civil rights assurances to be furnished consistent with those authorities and will specify the form on which such assurances must be made. A failure to furnish or comply with the civil rights assurances contained in this form may result in the procedures to effect compliance of 24 CFR §§ 1.8, 3.115, 8.57, or 146.39.

By submitting this form, you are stating that all assertions made in this form are true, accurate, and correct.

As the duly representative of the applicant, I certify that the applicant:

\*Authorized Representative Name:

Prefix: Mr. \*First Name: Jon  
Middle Name:  
\*Last Name: Fackel  
Suffix:  
\*Title: Chairman  
\*Applicant Organization: Shelby County Commission

1. Has the legal authority to apply for Federal assistance, has the institutional, managerial and financial capability (including funds to pay the non-Federal share of program costs) to plan, manage and complete the program as described in the application and the governing body has duly authorized the submission of the application, including these assurances and certifications, and authorized me as the official representative of the application to act in connection with the application and to provide any additional information as may be required.

2. Will administer the grant in compliance with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000(d)) and implementing regulations (24 CFR part 1), which provide that no person in the United States shall, on the grounds of race, color or national origin, be excluded from participation in, be denied the benefits of, or otherwise be subject to discrimination under any program or activity that receives Federal financial assistance OR if the applicant is a Federally recognized Indian tribe or its tribally designated housing entity, is subject to the Indian Civil Rights Act (25 U.S.C. 1301-1303).

3. Will administer the grant in compliance with Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794), as amended, and implementing regulations at 24 CFR part 5, the American Disabilities Act (42 U.S.C. §§ 12101 et seq.), and implementing regulations at 28 CFR part 36 or 38, as applicable, and the Age Discrimination Act of 1975 (42 U.S.C. 6101-07) as amended, and implementing regulations at 24 CFR part 146 which together provide that no person in the United States shall, on the grounds of disability or age, be excluded from participation in, be denied the benefits of, or otherwise be subjected to discrimination under any program or activity that receives Federal financial assistance; except if the grant program authorizes or limits participation to designated populations, then the applicant will comply with the nondiscrimination requirements within the designated population.

4. Will comply with the Fair Housing Act (42 U.S.C. 3601-19), as amended, and the implementing regulations at 24 CFR part 100, which prohibit discrimination in housing on the basis of race, color, religion, sex, disability, marital status, or national origin and will affirmatively further fair housing; except an applicant which is an Indian tribe or its instrumentality which

is excluded by statute from coverage does not make this certification; and further except if the grant program authorizes or limits participation to designated populations, then the applicant will comply with the nondiscrimination requirements within the designated population.

5. Will comply with all applicable Federal nondiscrimination requirements, including those listed at 24 CFR §§ 6.105(a) and 5.106 as applicable.

6. Will not use Federal funding to promote diversity, equity, and inclusion (DEI) mandates, policies, programs, or activities that violate any applicable Federal anti-discrimination laws.

7. Will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended (42 U.S.C. 4601) and implementing regulations at 49 CFR part 24 and, as applicable, Section 104(d) of the Housing and Community Development Act of 1974 (42 U.S.C. 5304(d)) and implementing regulations at 24 CFR part 42, subpart A.

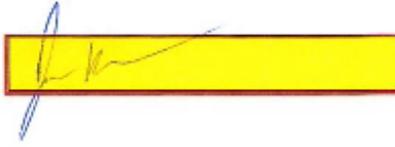
8. Will comply with the environmental requirements of the National Environmental Policy Act (42 U.S.C. 4321 et seq.) and related Federal authorities prior to the commitment or expenditure of funds for property.

9. That no Federal appropriated funds have been paid, or will be paid, by or on behalf of the applicant, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, and officer or employee of Congress, or an employee of a Member of Congress, in connection with the awarding of this Federal grant or its extension, renewal, amendment or modification. If funds other than Federal appropriated funds have or will be paid for influencing or attempting to influence the persons listed above, I shall complete and submit Standard Form-LLL, Disclosure Form to Report Lobbying. I certify that I shall require all subawards at all tiers (including sub-grants and contracts) to similarly certify and disclose accordingly. Federally recognized Indian Tribes and tribally designated housing entities (TDHEs) established by Federally recognized Indian tribes as a result of the exercise of the tribe's sovereign power are excluded from coverage by the Byrd Amendment, but State-recognized Indian tribes and TDHEs established under State law are not excluded from the statute's coverage.

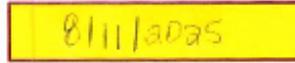
I/We, the undersigned, certify under penalty of perjury that the information provided above is true, accurate, and correct.

WARNING: Anyone who knowingly submits a false claim or makes a false statement is subject to criminal and/or civil penalties, including confinement for up to 5 years, fines, and civil and administrative penalties. (18 U.S.C. §§287, 1001, 1010, 1012, 1014; 31 U.S.C. §§3729, 3802; 24 CFR §28.10(b)(1)(iii)).

\*Signature:

A yellow rectangular box containing a handwritten signature in blue ink. The signature is stylized and appears to be initials or a name.

\*Date:

A yellow rectangular box containing the handwritten date "8/11/2025" in blue ink.

Form HUD-424-B (02/20)

**CERTIFICATIONS**

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** --The jurisdiction will affirmatively further fair housing.

**Uniform Relocation Act and Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

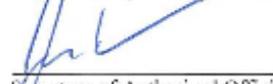
**Anti-Lobbying** --To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

**Authority of Jurisdiction** --The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan** --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

**Section 3** -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 75.

  
\_\_\_\_\_  
Signature of Authorized Official

1/28/25  
\_\_\_\_\_  
Date

Shelby County Commission Chairman  
Title

### Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban communities, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570.

**Following a Plan** -- It is following a current consolidated plan that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).

2. **Overall Benefit.** The aggregate use of CDBG funds, including Section 108 guaranteed loans, during program year(s) 2025 [a period specified by the grantee of one, two, or three specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.

3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

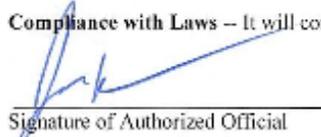
**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.

**Compliance with Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

**Compliance with Laws** -- It will comply with applicable laws.

  
\_\_\_\_\_  
Signature of Authorized Official

7/28/25  
Date

Shelby County Commission Chairman  
Title

**APPENDIX TO CERTIFICATIONS**

**INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:**

**Lobbying Certification**

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.